FORM PTO-1390 (Modified) (REV 11-2000) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR
112843-035	09/979533

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/EP00/04744	19 May 2000	20 May 1999

TITLE OF INVENTION

METHOD FOR INCREASING THE PRODUCTION OF PROPIONATE IN THE GASTROINTESTINAL TRACT

APPLICANT(S) FOR DO/EO/US

Jann et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include itens (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
   a. ☒ is attached hereto (required only if not communicated by the International Bureau).
   b. ☐ has been communicated by the International Bureau.
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
   a. ☐ is attached hereto.
   b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
   a. ☐ are attached hereto (required only if not communicated by the International Bureau).
   b. ☐ have been communicated by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

    Return Receipt Postcard

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
09/979533	PCT/EP00/04744	112843-035

24. The following fees are submitted:.		CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :			
☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO	**$1040.00**		
☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	**$890.00**		
☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	**$740.00**		
☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)	**$710.00**		
☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)	**$100.00**		
ENTER APPROPRIATE BASIC FEE AMOUNT =		**$890.00**	
Surcharge of **$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).		**$0.00**	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	24 - 20 =	4	x $18.00	**$72.00**	
Independent claims	6 - 3 =	3	x $84.00	**$252.00**	
Multiple Dependent Claims **(check if applicable)**.			☐	**$0.00**	
TOTAL OF ABOVE CALCULATIONS =				**$1,214.00**	
☐ Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				**$0.00**	
SUBTOTAL =				**$1,214.00**	
Processing fee of **$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). +				**$0.00**	
TOTAL NATIONAL FEE =				**$1,214.00**	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) **(check if applicable).** ☐				**$0.00**	
TOTAL FEES ENCLOSED =				**$1,214.00**	
				Amount to be: refunded	$
				charged	$

a. ☒ A check in the amount of **$1,214.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **02-1818** A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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REGISTRATION NUMBER

November 19, 2001

DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:	Jann et al.
SERIAL NO.:	Unknown
GROUP ART UNIT:	Unknown
FILING DATE:	Filed Herewith
EXAMINER:	Unknown
TITLE:	"METHOD FOR INCREASING THE PRODUCTION OF PROPIONATE IN THE GASTROINTESTINAL TRACT"
ATTY. DOCKET NO.:	112843-035

Assistant Commissioner of Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

S I R:

Please enter the following Preliminary Amendment in the above-identified patent application:

IN THE CLAIMS

Please amend Claims 1-9 as follows:

1. (Once Amended) A method for selectively increasing the production of propionate in the gastro-intestinal tract of a mammal comprising the step of administering a nutritional composition comprising dextran.

2. (Once Amended) A method for decreasing blood cholesterol levels in a mammal comprising the step of administering a nutritional composition comprising dextran.

3. (Once Amended) A method for decreasing blood triglyceride levels in a mammal comprising the step of administering a nutritional composition comprising dextran.

4. (Once Amended) A method for decreasing very low density lipoprotein levels in a mammal comprising the step of administering a nutritional composition comprising dextran.

5. (Once Amended) A method for increasing high density lipoprotein levels in a mammal comprising the step of administering a nutritional composition comprising dextran.

6. (Once Amended) A method for increasing insulin sensitivity in a mammal comprising the step of administering a nutritional composition comprising dextran.

7. (Once Amended) The method according to Claim 1 wherein the dextran is a high molecular weight dextran having a molecular weight above about 500,000.

8. (Once Amended) The method according to Claim 1 wherein the nutritional composition further comprises at least one component selected from the group consisting of inulin, fructo-oligo saccharide, galacto-oligosaccarides, or xylo-oligosaccharides, and mixtures thereof.

9. (Once Amended) The method according to Claim 1 wherein the nutritional composition further comprises a lipid source which is rich in monounsaturated fatty acids and poor in saturated fatty acids.

Please add newly-submitted Claims 10-24 as follows:

10. The method according to Claim 2 wherein the dextran is a high molecular weight dextran having a molecular weight above about 500,000.

11. The method according to Claim 2 wherein the nutritional composition further comprises at least one component selected from the group consisting of inulin, fructo-oligo saccharide, galacto-oligosaccarides, or xylo-oligosaccharides, and mixtures thereof.

12. The method according to Claim 2 wherein the nutritional composition further comprises a lipid source which is rich in monounsaturated fatty acids and poor in saturated fatty acids.

13. The method according to Claim 3 wherein the dextran is a high molecular weight dextran having a molecular weight above about 500,000.

14. The method according to Claim 3 wherein the nutritional composition further comprises at least one component selected from the group consisting of inulin, fructo-oligo saccharide, galacto-oligosaccarides, or xylo-oligosaccharides, and mixtures thereof.

15. The method according to Claim 3 wherein the nutritional composition further comprises a lipid source which is rich in monounsaturated fatty acids and poor in saturated fatty acids.

16. The method according to Claim 4 wherein the dextran is a high molecular weight dextran having a molecular weight above about 500,000.

17. The method according to Claim 4 wherein the nutritional composition further comprises at least one component selected from the group consisting of inulin, fructo-oligo saccharide, galacto-oligosaccarides, or xylo-oligosaccharides, and mixtures thereof.

18. The method according to Claim 4 wherein the nutritional composition further comprises a lipid source which is rich in monounsaturated fatty acids and poor in saturated fatty acids.

19. The method according to Claim 5 wherein the dextran is a high molecular weight dextran having a molecular weight above about 500,000.

20. The method according to Claim 5 wherein the nutritional composition further comprises at least one component selected from the group consisting of inulin, fructo-oligo saccharide, galacto-oligosaccarides, or xylo-oligosaccharides, and mixtures thereof.

21. The method according to Claim 5 wherein the nutritional composition further comprises a lipid source which is rich in monounsaturated fatty acids and poor in saturated fatty acids.

22. The method according to Claim 6 wherein the dextran is a high molecular weight dextran having a molecular weight above about 500,000.

23. The method according to Claim 6 wherein the nutritional composition further comprises at least one component selected from the group consisting of inulin, fructo-oligo saccharide, galacto-oligosaccarides, or xylo-oligosaccharides, and mixtures thereof.

24. The method according to Claim 6 wherein the nutritional composition further comprises a lipid source which is rich in monounsaturated fatty acids and poor in saturated fatty acids.

REMARKS

Pursuant to this Preliminary Amendment, Claims 1-9 have been amended and newly-submitted Claims 10-24 have been added. This Preliminary Amendment does not add new matter. Applicants also note for the record that this Preliminary Amendment is not being made for purposes of patentability and/or to narrow the claims. Instead, the Preliminary Amendment is being made to allow the claims to comport to U.S. format and/or to add new claims. Accordingly, Applicants do not disclaim any subject matter in view of this Preliminary Amendment.

Attached hereto is a marked-up version of the changes made to the claims by this Preliminary Amendment. The attached page is captioned "**Versions with Markings to Show Changes Made.**"

Respectfully submitted,

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VERSION WITH MARKINGS TO SHOW CHANGES

Claims 1-9 have been amended as follows:

1. (Once Amended) A method [The use of dextran in the preparation of a nutritional composition] for selectively increasing the production of propionate in the gastro-intestinal tract of a mammal comprising the step of administering a nutritional composition comprising dextran.

2. (Once Amended) A method [The use of dextran in the preparation of a nutritional composition] for decreasing blood cholesterol levels in a mammal comprising the step of administering a nutritional composition comprising dextran.

3. (Once Amended) A method [The use of dextran in the preparation of a nutritional composition] for decreasing blood triglyceride levels in a mammal comprising the step of administering a nutritional composition comprising dextran.

4. (Once Amended) A method [The use of dextran in the preparation of a nutritional composition] for decreasing very low density lipoprotein levels in a mammal comprising the step of administering a nutritional composition comprising dextran.

5. (Once Amended) A method [The use of dextran in the preparation of a nutritional composition] for increasing high density lipoprotein levels in a mammal comprising the step of administering a nutritional composition comprising dextran.

6. (Once Amended) A method [The use of dextran in the preparation of a nutritional composition] for increasing insulin sensitivity in a mammal comprising the step of administering a nutritional composition comprising dextran.

7. (Once Amended) The method [use] according to [any of claims] Claim 1 [to 6 in which] wherein the dextran is a high molecular weight dextran having a molecular weight above about 500,000.

8. (Once Amended) The method [use] according to [any of claims] Claim 1 [to 7 in which] wherein the nutritional composition further comprises at least one component selected from the group consisting of inulin, fructo-oligo saccharide, galacto-oligosaccarides, or xylo-oligosaccharides, and [or] mixtures thereof.

9. (Once Amended) The method [use] according to [any of claims] Claim 1 [to 8 in which] wherein the nutritional composition further comprises a lipid source which is rich in monounsaturated fatty acids and poor in saturated fatty acids.

Claims 10-24 have been added.

Method For Increasing Propionate In the Gastro-intestinal Tract

Field of the invention

This invention relates to a method for preferentially increasing the synthesis of propionate in the gastrointestinal tract by administering dextran. The invention also relates to methods for the nutritional management of blood cholesterol levels, blood triglyceride levels, blood lipoprotein levels, and insulin sensitivity by administering dextran.

Background to the invention

Certain non-digestible polysaccharides, which are often termed prebiotic fibres, are fermented by micro-organisms in the gastro-intestinal tract. Examples of these polysaccharides are inulin and its hydrolysis products. The products of the fermentation lead to the provision of energy, the selective stimulation of growth of lactic acid bacteria and the regulation of cellular metabolism. One class of these fermentation products are the short chain fatty acids acetate, propionate and butyrate.

Of the short chain fatty acids, propionate is thought to (i) mediate the reduced hepatic gluconeogenesis induced by non-digestible polysaccharides, (ii) inhibit gluconeogenesis in the liver, (iii) enhance glycolysis, (iv) lower plasma fatty acid concentrations, (v) inhibit ureagenesis in the liver, and (v) increase insulin sensitivity (*Roberfroid et al*; 1998; *Annu. Rev. Nutr.*; 18:117-43). Acetate, however, increases plasma fatty acid concentrations (*Roberfroid et al*; 1998; *Annu. Rev. Nutr.*; 18:117-43).

The selective production of propionate in the gastro-intestinal tract would therefore be of benefit in the nutritional management of many conditions. However, the primary fatty acid which is produced upon fermentation of known non-digestible polysaccharides is acetate, followed by butyrate and propionate. Hence these non-digestible polysaccharides are not suitable for selectively increasing the production of propionate in the gastro-intestinal tract.

Therefore, it is an object of this invention to provide a method for selectively increasing the production of propionate in the gastro-intestinal tract.

Summary of the invention

Accordingly, in one aspect, this invention provides a method for selectively increasing the production of propionate in the gastro-intestinal tract, the method comprising enterally administering to a mammal a nutritional composition which contains dextran.

It has been surprisingly found that dextran, when fermented by micro-organisms which occur in the gastro-intestinal tract, results in the increased production of propionate when compared to other non-digestible polysaccharides. Therefore, dextran is an ideal source of propionate in the gastro-intestinal tract.

The term "dextran" means a group of polysaccharide which are composed of α-D-glucopyranosyl units linked predominantly α-D(1→6). Dextrans are produced by certain types bacteria growing on a glucose substrate; for example *Leuconostoc mesenteroides*, *Leuconostoc dextranicum*, and *Leuconostoc mesenteroides ssp. cremoris*. Further, shorter chain dextrans may be obtained by hydrolysing native dextrans or by synthesising them.

In another aspect, this invention provides a method for decreasing blood cholesterol levels in a mammal, the method comprising enterally administering to a mammal a nutritional composition which contains dextran.

In another aspect, this invention provides a method for decreasing blood triglyceride levels in a mammal, the method comprising enterally administering to a mammal a nutritional composition which contains dextran.

In another aspect, this invention provides a method for decreasing very low density lipoprotein levels in a mammal, the method comprising enterally administering to a mammal a nutritional composition which contains dextran.

In another aspect, this invention provides a method for increasing high density lipoprotein levels in a mammal, the method comprising enterally administering to a mammal a nutritional composition which contains dextran.

In another aspect, this invention provides a method for increasing insulin sensitivity in a mammal, the method comprising enterally administering to a mammal a nutritional composition which contains dextran.

Detailed Description of the Preferred Embodiments

Embodiments of the invention are now described, by way of example only.

This invention is based upon the discovery that the colonic fermentation of dextran by micro-organisms results in the production of relatively larger amounts of propionate as compared to other non-digestible polysaccharides. Therefore, the enteral administration of dextran provides a convenient and simple way of selectively increasing the production of propionate in the gastro-intestinal tract.

The dextran used may be any suitable dextran; natural, synthetic or partially hydrolysed. Suitable dextrans are commercially available or may be produced by growing *Leuconostoc* micro-organisms on a sucrose substrate and isolating and purifying the dextran. Alternatively, the dextran may be produced as described in European patent application 0881283.

Preferably, however, the dextran is a high molecular weight dextran; for example having a molecular weight above 50000, preferably above about 70000, more preferably above about 100000; for example above about 500000.

The dextran may be formulated into any suitable nutritional composition as desired since the exact composition and form is not critical. One suitable class of nutritional compositions is food products. Examples of suitable food products include yoghurts, ice cream confections, milk-based drinks, salad dressings, sauces, toppings, desserts, confectionery products, biscuits, cereal-based snack bars, prepared dishes, and the like. For humans, food products which are convenience foods are preferred since patient compliance is increased. Another suitable class of nutritional compositions is nutritional formulas such as enteral formulas for clinical and infant nutrition, and nutritional supplements. For pets, the nutritional compositions may be in the form of pet foods such as dried kibbles and retorted wet products.

The nutritional compositions may contain other ingredients as desired. For example, the nutritional compositions may contain other polysaccharides such as insoluble and soluble fibres. Fibres are known to have a beneficial effect upon cholesterol and glucose levels. Suitable sources of soluble and insoluble fibres are commercially available.

An example of a suitable fibre is inulin or its hydrolysis products. The inulin may be provided in the form of a natural extract which is suitable for human consumption. Suitable inulin extracts may be obtained from Orafti SA of Tirlemont 3300, Belgium under the trade mark "Raftiline". For example, the inulin may be provided in the form of Raftiline®ST which is a fine white powder which contains about 90 to about 94% by weight of inulin, up to about 4% by weight of glucose and fructose, and about 4 to 9% by weight of sucrose. The

average degree of polymerisation of the inulin is about 10 to about 12. The hydrolysis products of inulin are fructo-oligosaccharides in the form of fructose oligomers containing 1-kestose(GF2), nystose(GF3), and 1F-fructofuranosyl nystose(GF4), in which fructosyl units(F) are bound at the β-2,1 position of sucrose(GF) respectively. The fructo-oligosaccharides may be obtained commercially, for example from Orafti SA of Tirlemont 3300, Belgium under the trade mark "Raftilose", or from Meiji Seika Co. of Japan. For example, the fructo-oligosaccharides may be provided in the form of Raftilose®P95. Other oligosaccharides may be included if desired. Suitable examples are galacto-oligosaccarides, xylo-oligosaccharides or oligo derivatives of starch.

If both soluble and insoluble fibre are used, the ratio of soluble fibre to insoluble fibre is preferably about 1:3 to about 3:1; more preferably about 1:1 to about 2:1.

The nutritional composition may also contain vitamins and minerals as desired. For clinical applications, the nutritional composition preferably includes a complete vitamin and mineral profile. For example, sufficient vitamins and minerals may be provided to supply about 25% to about 250% of the recommended daily allowance of the vitamins and minerals per 1000 calories of the nutritional composition.

When the nutritional composition is in the form of a food product or nutritional formula, the nutritional composition may contain a protein source, a lipid source and a carbohydrate source. These sources may be selected as desired.

The lipid source is preferably rich in monounsaturated fatty acids; for example monounsaturated fatty acids may provide at least 50% of energy of the lipid source. The lipid source may also contain polyunsaturated fatty acids (omega-3 and omega-6 fatty acids). The lipid profile is preferably designed to have a polyunsaturated fatty acid omega-6 (n-6) to omega-3 (n-3) ratio of about 4:1 to about 10:1. Saturated fatty acids preferably provide less than 20% of the energy of the lipid source; for example less than about 15%.

The nutritional composition may be used in the nutritional management of conditions such as diabetes and hypercholesterolemia.

The amount of the nutritional composition required to be fed to a patient will vary depending upon factors such as the patient's condition, the patient's body weight, the age of the patient, and whether the nutritional composition is the sole source of nutrition. However the required amount may be readily set by

a medical practitioner. In general, sufficient of the nutritional composition is administered to provide the patient with up to about 40 g of dietary fibre (insoluble and soluble) per day; for example about 25 g to about 35 g of dietary fibre per day. The amount of dextran that the patient receives is preferably in the range of about 2g to about 15g per day. If the nutritional formula is used as a supplement to other foods, the amount of the nutritional composition that is administered daily may be decreased accordingly.

The nutritional composition may be taken in multiple doses, for example 2 to 5 times, to make up the required daily amount or may taken in a single dose. The nutritional composition may also be fed continuously over a desired period.

The invention is now further described with reference to the following specific examples.

Example 1

Three non-digestible polysaccharides are fermented in an *in vitro* fermentation model which simulates fermentation conditions in the gastro-intestinal tract. The polysaccharides are (i) acacia gum (available under the trade name Fibregum), (ii) Dextran produced according to European patent application 0881283, and (iii) lactulose.

For each polysaccharide, an amount of 100 mg of the polysaccharide is added to 8 ml of a carbonate-phosphate buffer, which contains oligo-elements, in a 50 ml air-tight flask. The composition of the buffer is as follows:-

Component	Amount
$NaHCO_3$	9.240g/l
Na_2HPO_4. $12H_2O$	7.125g/l
NaCl	0.470g/l
KCl	0.450g/l
Urea	0.400g/l
$CaCl_2 \cdot 6H_2O$	0.108g/l
Na_2SO_4	0.100g/l
$MgCl_2 \cdot 6H_2O$	0.100g/l
$FeSO_4 \cdot 7H_2O$	36.80mg/l
$MnSO_4 \cdot H_2O$	11.59mg/l
$ZnSO_4 \cdot 7H_2O$	4.40mg/l
$CoCl_2 \cdot 6H_2O$	1.20mg/l
$NiCl_2$	1.00mg/l
$CuSO_4 \cdot 5H_2O$	0.98mg/l
$Mo_7(NH_4)_6O_{24} \cdot 4H_2O$	0.17mg/l
Resazurine	1.00mg/l

Each flask is rinced for 1 minute with CO_2 gas and stored at 4°C for 16 hours under a slight over-pressure.

Dilute human faeces is prepared from samples of fresh faeces collected from healthy humans not having consumed antibiotics for at least 3 months and not producing methane. The faeces are immediately rinced with CO_2 gas, and 3 parts (weight/weight) of the carbonate-phosphate buffer with oligo-elements are rapidly added at 37°C. The mixture is blended for 2 minutes in a stomacher (Stomacher 400, Seward, London, GB) and filtered by a Polymon PES1000/45 filter with 1 mm holes (Schweizerische Seidenfabrik SA, Zürich,CH).

An amount of 2 ml of the dilute faeces is added to each flask and the head space gas is replaced by a flux of temperate CO_2 gas for 1 minute. After equilibration of the pressure, each flask is sealed air-tight and incubated in an agitated water bath at 37°C.

After 24 hours, the content of short chain fatty acids in the flasks determined twice by direct injection of an acidified and sterile filtered sample on a gas chromatograph with FID (HP 8960, Hewlett Packard, Urdorf, CH) fitted

with a DB-FFAP capillary column (MSP FRIEDLI & Co, Koeniz, CH). The results are as follows:-

Polysaccharide	Short Chain fatty acid	SCFA Content (μmol/100mg)	SCFA % of total*
Fibregum	Acetate	648.2	63.7
	Propionate	228.6	22.5
	Butyrate	107.1	10.5
Dextran	Acetate	415.0	46.3
	Propionate	363.5	40.6
	Butyrate	87.6	9.8
Lactulose	Acetate	909.2	74.6
	Propionate	111.7	9.2
	Butyrate	172.2	14.1

* the percentages do not added up to 100% since other short chain fatty acids are present in minor amounts.

The results indicate that fermentation of dextran results in increased production of propionate; relatively and absolutely. For the other polysaccharides, only acetate was favoured.

Example 2

A study is undertaken with 45 mice aged between 7 and 10 weeks. The mice are kept in sterile conditions in cages. The mice have free access to water and a standard diet.

On the first day of the study, each mouse is fed 0.5 ml of a complete human microbial flora, diluted 100 times, by intra-gastric tube. The feeding is repeated on day 2. On day 11, the mice are separated into three groups; each group being housed in a separate sterile isolation unit.

On day 15, each group of mice receives a test diet. The test diets are sterile. The test diets all contain a potato puree, sugar, fish meal, cellulose, vitamins and minerals and a non-digestible polysaccharide. The polysaccharides are as follows:-

Diet	Polysaccharide
Positive Control	Fructo-oligosaccharide (Raftilose)
Negative Control	Cellulose
Diet 1	Dextran

The mice are fed the diets until day 36. During this time, the development of the intestinal flora of each mouse is monitored by collecting faeces and determining microbial counts. A blood sample is collected from each mouse and analysed for short chain fatty acids. The mice are then anaesthetised and sacrificed. The caecum and stomach contents of each mouse is removed and analysed for short chain fatty acids and microbial flora, respectively.

All mice fed Diet 1 have relatively higher levels of propionate in the blood and caecum.

Example 3

A study was performed to evaluate with 3 to 5 volunteers whether a significant increase of propionic acid could be meausred in feces after consumption of an acute dose of 15g Dextran T2000 and a chronic dose of 10g Dextran T2000 per day.

This study was performed as a randomiszed placebo-controlled double blind study with 4 volunteers in a cross-over design. SCFAs were measured in feces. Additionally, blood formula and selected blood proteins were measured before and after consumption of the dextran.

Outline of Results

a) the effect of an accute dose of 15g dextran on propionic acid in feces was investigated. The pool of feces collected between 12 and 72 hours after consumption of the acute does was analysed for short chain fatty acids (SCFAs). Taking the average results of the 4 volunteers, propionic acid infeces of the pool increased by 3.43 mmol in the treatmetn group relative to the placebo group.

b) a chronic consumption of 10g dextran per day was investigated. Propionic caid concentration in a fecal sample was analysed after 1 week of chronic consumption. Taking the average of the 4 volunteers, propionic acid concentration increased by 24.0 µmol/g dry feces in the treatment group compared to a decrease of 5.7 µmol/g dry feces in the placebo group.

Consumption of dextran induced no relevant changes of blook formula, investigated bood proteins or blood plasma enzymes. No clinical symptoms have been reported.

Conclusions

The results indicate an increase in the level of propionic acid in the gastro-intestinal tract following consumption of dextran.

Results

A summary of results from the study on dextran is set out below. This was a placebo controlled double blind study with a cross-over design. 4 volunteers were enrolled.

Results are given separately for treatment (Dextran) and placebo (maltodextrin). Additionally results relative to placebo are given.

fecal samples (1 week intake of 10g per day)

C2: acetic acid
C3: propionic acid

Treatment

volunteer	pionate conc.	C3/C2	% propionic acid
1	89.89	-0.139	0.1
2	-13.73	-0.087	-2.7
3	1.31	0.071	6.8
4	18.43	0.007	3.3
av	23.98 µmol/g dry	-0.037	1.9

Placebo

volunteer	pionate conc.	C3/C2	% propionic acid
1	11.39	-0.027	-0.7
2	-2.35	-0.144	-4.6
3	-27.51	-0.041	-0.9
4	-4.36	-0.002	-0.2
av	-5.71 µmol/g dry	-0.054	-1.6

treatm - plac.

volunteer		C3/C2	% propionic acid
1	78.50	-0.112	0.8
2	-11.38	0.057	1.9
3	28.82	0.112	7.7
4	22.79	0.009	3.5
av	**29.68 µmol/g dr**	**0.02**	**3.5**

In average:

During treatment, propionate concentration increased by 24.0 µmol/g dry feces.
During treatment, propionate/acetate ratio decreased by 0.04.
During treatment, %age of propionate on total SCFAs increased by 1.9%.

During placebo, propionate concentration decreased by 5.7 µmol/g dry feces.
During placebo, propionate/acetate ratio decreased by 0.05.
During placebo, %age of propionate on total SCFAs decreased by 1.6%.

Relative to placebo, propionate concentration increased by 29.7 µmol/gdry feces.
Relative to placebo, propionate/acetate ration increased by 0.02.
Relative to placebo, %age of propionate on total SCFAs increased by 3.5%.

pool of feces (12h to 72h after intake of 15g)

treatment

	C3 produce	C3 in tot	C3/C2	conc. C3 (µmol/g wet)
1	29.65	30.32	0.57	35.54
2	2.26	20.98	0.39	8.61
3	8.41	22.31	0.47	35.86
4	2.91	18.20	0.34	13.88
av	10.81	22.95	0.44	23.47

placebo

	C3 produce	C3 in tot	C3/C2	conc. C3 (µmol/g wet)
1	17.11	24.84	0.44	26.84
2	3.91	18.13	0.35	11.97
3	4.46	22.37	0.48	22.96
4	4.04	16.39	0.27	10.35
av	7.38	20.43	0.39	18.03

treatment - placebo

	C3 produce	C3 in tot	C3/C2	conc. C3 (µmol/g wet)
1	12.54	5.48	0.13	8.69
2	-1.65	2.84	0.04	-3.37
3	3.95	-0.06	-0.01	12.90
4	-1.12	1.81	0.06	3.53
av	**3.43**	**2.52**	**0.06** (=+15%)	**5.44**

In blood, no changes in SCFA concentrations were observed.

In average:

During treatment, propionate production was 10.8 mmol.
During treatment, %age of propionate on total SCFAs was 23%.
During treatment, propionate by acetate ratio 0.44.
During treatment, propionate concentration was 23.5 µmol/g wet feces.

During treatment, propionate production was 7.4 mmol.
During treatment, %age of propionate on total SCFAs was 20.4%.
During treatment, propionate by acetate ratio 0.39.
During treatment, propionate concentration was 18.0 µmol/g wet feces.

Relative to placebo, propionate production increased by 3.4 mmol.
Relative to placebo, %age of propionate on total SCFAs increased by 2.5%.
Relative to placebo, propionate/acetate ration increased by 0.06 (or 15%).
Relative to placebo, propionate concentration increased by 5.4 µmol/g wet feces.

Claims

1. The use of dextran in the preparation of a nutritional composition for selectively increasing the production of propionate in the gastro-intestinal tract of a mammal.

2. The use of dextran in the preparation of a nutritional composition for decreasing blood cholesterol levels in a mammal.

3. The use of dextran in the preparation of a nutritional composition for decreasing blood triglyceride levels in a mammal.

4. The use of dextran in the preparation of a nutritional composition for decreasing very low density lipoprotein levels in a mammal.

5. The use of dextran in the preparation of a nutritional composition for increasing high density lipoprotein levels in a mammal.

6. The use of dextran in the preparation of a nutritional composition for increasing insulin sensitivity in a mammal.

7. The use according to any of claims 1 to 6 in which the dextran is a high molecular weight dextran having a molecular weight above about 500000.

8. The use according to any of claims 1 to 7 in which the nutritional composition further comprises inulin, fructo-oligo saccharide, galacto-oligosaccarides, or xylo-oligosaccharides, or mixtures thereof.

9. The use according to any of claims 1 to 8 in which the nutritional composition further comprises a lipid source which is rich in monounsaturated fatty acids and poor in saturated fatty acids.

Docket No.
112843-35

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD FOR INCREASING THE PRODUCTION OF PROPIONATE IN THE GASTROINTESTINAL TRACT

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on **19 May 2000** as United States Application No. or PCT International Application Number **PCT/EP00/04744**

and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Not Claimed
99109916.9 (Number)	**Europe** (Country)	**20 May 1999** (Day/Month/Year Filed)	☐
(Number)	(Country)	(Day/Month/Year Filed)	☐
(Number)	(Country)	(Day/Month/Year Filed)	☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

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